

BROKERAGE	
GENERAL SERVICES	
Wire Transfers	
First Caribbean	\$15
RBC Royal Bank	\$15
Proven	\$15
Butterfield	\$15
All Other Banks	\$50
USD Draft	\$50
Late Payment (past settlement)	\$35
Signature Guarantee	\$35
Reference (Credit) Letter	\$35
Margin Facility Fee (\$200 min)	1% of Margin
Overdraft Interest (\$10 min)	BSD Prime + 8.75%
Query Trace Fee	\$35.00 per hour
Audit Confirmation (per security)	\$100 – 1 st two \$50 thereafter
ANNUAL ACCOUNT FEES	
Zero Fee Brokerage Account	\$0
Advantage + Brokerage Account	\$500
CORPORATE SERVICES	
Pledge of Securities (\$200min)	0.05% (Value of Portfolio)
Share Transfers (\$200min)	0.05% (Transaction Amount)
Internal Share Transfers (\$100min)	0.01% (Transaction Amount)

BONDS (\$100 min)	
Zero Fee Brokerage Account	1.00%
Advantage + Brokerage Account	0.75%
COMPLIMENTARY SERVICES	
No Commitment Fee \$10,000 Line of Credit – Advantage + Brokerage Account**	
Custody of securities	
Deposit of dividends/interest payments	
Online-Banking Service	

MUTUAL FUNDS	
USD FUNDS	
Asset Management	
International Opportunities Fund	2%
Global Balanced Fund	1.5%
Targeted Income Fund	1%
Hedge Fund	2%
Redemption Fee (\$50 min)	0-35 months: 1% of redemption value, Thereafter: \$50 flat fee
Front End Sales Fee	Up to 5% of initial investment (optional)
GENERAL SERVICES	
Emergency Requests	1% + DSC

CORPORATE FINANCE	
GENERAL SERVICES	
Engagement Fee	\$25,000.00
Advisory and Placement Fees	Negotiated + % of funds raised

TRUST & ESTATE PLANNING

TRUST SERVICES

Trust Formation/Establishment \$1,000.00

Annual Trust Administration Fees

\$0-\$10 Million 0.50% of AUA (Subject to a min of \$3,000)

\$10 Million + 0.25% of AUA

2% fee based on the value of the asset or the amount of the distribution being made

Distribution Fee

Preparation of Audited Annual Financial Statements (minimum) \$200.00

Sale or Purchase of Real Estate A fee not exceeding 2% of the market value

Trust Termination Fee \$1,500.00

Insurance company Trusts where the assets are held in fixed deposit and there is no underlying company Annual Trust \$3,000.00

Administration Fee

COMPANY SERVICES

Incorporation (Domestic Company) \$2,638.00

Incorporation (IBC) \$2,558.00

Annual Company Fees

Company Administration Fee (Registered Office/Registered Agent) \$1,000.00

Government Fee (Caymanian Ownership) \$500.00

Government Fee (Non-Caymanian Ownership) \$1,150.00

Government Fee (Capital > \$50,000) \$500.00

Government Fee (Capital < \$50,000) \$1,150.00

Directors & Officers Fee \$500.00

Deeds & Statutory Documents \$250.00

Provision of Certificate of Good Standing \$250.00

Provision of Certificate of Incumbency \$200.00

FOUNDATION FEE SCHEDULE

Foundation Establishment Fee \$2,000.00

Foundation Annual Administration Fee \$1,500.00

Annual Government Fee \$500.00

INVESTMENT MANAGEMENT

GENERAL SERVICES

Subject to AUM with Min. annual fee of \$5,000. Negotiable based on asset size/type.

Asset Management 1% (based on asset type)

PRIVATE WEALTH

GENERAL SERVICES

Maintenance Fee (Core) \$125 a month

Maintenance Fee (Premier) \$100 a month

ASSET MANAGEMENT FEE (PREMIER)

Subject to a minimum fee of \$5,000.

\$500k - \$4.99m 1.00%

\$5m - \$9.99m 0.50%

\$10m and higher Negotiable

ASSET MANAGEMENT FEE (CORE)

Subject to a minimum fee of \$5,000.

\$500k - \$4.99m 0.85%

\$5m - \$9.99m 0.55%

\$10m and higher Negotiable

COMPLIMENTARY SERVICES

No Commitment Fee \$50,000 Line of Credit

Margin Lending (Fees Waived)

PENSIONS

GENERAL SERVICES

Certificate of Compliance \$75.00

COMPLIMENTARY SERVICES

Budgeting and Financial Planning Session

EDUCATIONAL INVESTMENT ACCOUNT

ANNUAL ACCOUNT FEES	
Annual Administrative Fee	\$100.00
Administrative Fee for existing client	\$50.00
Withdrawal Fee (\$50 min)	0-35 months: 1% of value, Thereafter: \$50 flat fee
Transfer of EIA Benefit (to Non-RF Acct)	\$0.05/unit (\$50 min)
Termination Fee	0-24 months 2%
Transfer of EIA Benefit (to Non-RF Acct)	25-36 months 1.5%
Termination Fee	0-35 months: 1% of value, Thereafter: \$50 flat fee